

## HR 4467

### 8-K Trading Gap Act of 2021

**Congress:** 117 (2021–2023, Ended)

**Chamber:** House

**Policy Area:** Finance and Financial Sector

**Introduced:** Jul 16, 2021

**Current Status:** Referred to the House Committee on Financial Services.

**Latest Action:** Referred to the House Committee on Financial Services. (Jul 16, 2021)

**Official Text:** <https://www.congress.gov/bill/117th-congress/house-bill/4467>

### Sponsor

**Name:** Rep. Maloney, Carolyn B. [D-NY-12]

**Party:** Democratic • **State:** NY • **Chamber:** House

### Cosponsors

No cosponsors are listed for this bill.

### Committee Activity

Committee	Chamber	Activity	Date
Financial Services Committee	House	Referred To	Jul 16, 2021

### Subjects & Policy Tags

#### Policy Area:

Finance and Financial Sector

### Related Bills

Bill	Relationship	Last Action
117 S 2360	Related bill	Apr 5, 2022: Committee on Banking, Housing, and Urban Affairs. Hearings held.

### Summary (as of Jul 16, 2021)

### 8-K Trading Gap Act of 2021

This bill requires certain publicly traded companies to create policies reasonably designed to prevent executive officers and directors from trading their securities after a significant corporate event but before disclosing that event through a public filing. Certain companies required by regulation to adopt a code of ethics are exempt from this requirement.

### Actions Timeline

- **Jul 16, 2021:** Introduced in House
- **Jul 16, 2021:** Referred to the House Committee on Financial Services.