

## HR 4335

### 8-K Trading Gap Act of 2019

**Congress:** 116 (2019–2021, Ended)

**Chamber:** House

**Policy Area:** Finance and Financial Sector

**Introduced:** Sep 16, 2019

**Current Status:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs. (Jan 14, 2020)

**Latest Action:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs. (Jan 14, 2020)

**Official Text:** <https://www.congress.gov/bill/116th-congress/house-bill/4335>

### Sponsor

**Name:** Rep. Maloney, Carolyn B. [D-NY-12]

**Party:** Democratic • **State:** NY • **Chamber:** House

### Cosponsors (2 total)

Cosponsor	Party / State	Role	Date Joined
Rep. Sherman, Brad [D-CA-30]	D · CA		Sep 20, 2019
Rep. Cleaver, Emanuel [D-MO-5]	D · MO		Sep 24, 2019

### Committee Activity

Committee	Chamber	Activity	Date
Banking, Housing, and Urban Affairs Committee	Senate	Referred To	Jan 14, 2020
Financial Services Committee	House	Markup By	Sep 20, 2019

### Subjects & Policy Tags

#### Policy Area:

Finance and Financial Sector

### Related Bills

Bill	Relationship	Last Action
116 S 2488	Related bill	Sep 17, 2019: Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.

### Summary (as of Jan 13, 2020)

### 8-K Trading Gap Act of 2019

This bill requires certain issuers of securities to create policies reasonably designed to prevent executive officers and directors from trading their securities after a significant corporate event but before disclosing that event through a public filing. Certain issuers required by regulation to adopt a code of ethics are exempt from this requirement.

## Actions Timeline

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- **Jan 14, 2020:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.
- **Jan 13, 2020:** Mr. San Nicolas moved to suspend the rules and pass the bill, as amended.
- **Jan 13, 2020:** Considered under suspension of the rules. (consideration: CR H188-189)
- **Jan 13, 2020:** DEBATE - The House proceeded with forty minutes of debate on H.R. 4335.
- **Jan 13, 2020:** At the conclusion of debate, the Yeas and Nays were demanded and ordered. Pursuant to the provisions of clause 8, rule XX, the Chair announced that further proceedings on the motion would be postponed.
- **Jan 13, 2020:** Considered as unfinished business. (consideration: CR H201-202)
- **Jan 13, 2020:** Passed/agreed to in House: On motion to suspend the rules and pass the bill, as amended Agreed to by the Yeas and Nays: (2/3 required): 384 - 7 (Roll no. 14).(text: CR H188)
- **Jan 13, 2020:** On motion to suspend the rules and pass the bill, as amended Agreed to by the Yeas and Nays: (2/3 required): 384 - 7 (Roll no. 14). (text: CR H188)
- **Jan 13, 2020:** Motion to reconsider laid on the table Agreed to without objection.
- **Sep 20, 2019:** Committee Consideration and Mark-up Session Held.
- **Sep 20, 2019:** Ordered to be Reported (Amended) by the Yeas and Nays: 52 - 0.
- **Sep 19, 2019:** Committee Consideration and Mark-up Session Held.
- **Sep 18, 2019:** Committee Consideration and Mark-up Session Held.
- **Sep 16, 2019:** Introduced in House
- **Sep 16, 2019:** Referred to the House Committee on Financial Services.