

## S 3578

### Improving Investment Research for Small and Emerging Issuers Act

**Congress:** 115 (2017–2019, Ended)

**Chamber:** Senate

**Policy Area:** Finance and Financial Sector

**Introduced:** Oct 11, 2018

**Current Status:** Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.

**Latest Action:** Read twice and referred to the Committee on Banking, Housing, and Urban Affairs. (Oct 11, 2018)

**Official Text:** <https://www.congress.gov/bill/115th-congress/senate-bill/3578>

### Sponsor

**Name:** Sen. Rounds, Mike [R-SD]

**Party:** Republican • **State:** SD • **Chamber:** Senate

### Cosponsors

*No cosponsors are listed for this bill.*

### Committee Activity

Committee	Chamber	Activity	Date
Banking, Housing, and Urban Affairs Committee	Senate	Referred To	Oct 11, 2018

### Subjects & Policy Tags

#### Policy Area:

Finance and Financial Sector

### Related Bills

Bill	Relationship	Last Action
115 S 488	Related bill	<b>Jul 18, 2018:</b> Message on House action received in Senate and at desk: House amendments to Senate bill.
115 HR 6139	Related bill	<b>Jul 11, 2018:</b> Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.

### Summary (as of Oct 11, 2018)

#### Improving Investment Research for Small and Emerging Issuers Act

This bill requires the Securities and Exchange Commission to report on investment research regarding small issuers, including emerging growth companies and companies considering initial public offerings. The report must: (1) include topics such as the availability of such research and potential conflicts of interest relating to its production and distribution, and (2) make recommendations for increasing small-issuer investment research.

## Actions Timeline

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- **Oct 11, 2018:** Introduced in Senate
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