

## HR 4200

SBIC Advisers Relief Act of 2014

**Congress:** 113 (2013–2015, Ended)

**Chamber:** House

**Policy Area:** Finance and Financial Sector

**Introduced:** Mar 11, 2014

**Current Status:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs

**Latest Action:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs. (Dec 3, 2014)

**Official Text:** <https://www.congress.gov/bill/113th-congress/house-bill/4200>

### Sponsor

**Name:** Rep. Luetkemeyer, Blaine [R-MO-3]

**Party:** Republican • **State:** MO • **Chamber:** House

### Cosponsors (9 total)

Cosponsor	Party / State	Role	Date Joined
Rep. Paulsen, Erik [R-MN-3]	R · MN		Mar 27, 2014
Rep. Maloney, Carolyn B. [D-NY-12]	D · NY		Mar 28, 2014
Rep. McHenry, Patrick T. [R-NC-10]	R · NC		Apr 4, 2014
Rep. Himes, James A. [D-CT-4]	D · CT		May 6, 2014
Rep. Moore, Gwen [D-WI-4]	D · WI		May 6, 2014
Rep. Murphy, Patrick [D-FL-18]	D · FL		May 7, 2014
Rep. Foster, Bill [D-IL-11]	D · IL		May 15, 2014
Rep. Rothfus, Keith J. [R-PA-12]	R · PA		May 15, 2014
Rep. Schneider, Bradley Scott [D-IL-10]	D · IL		May 21, 2014

### Committee Activity

Committee	Chamber	Activity	Date
Banking, Housing, and Urban Affairs Committee	Senate	Referred To	Dec 3, 2014
Financial Services Committee	House	Hearings By (subcommittee)	Apr 9, 2014

### Subjects & Policy Tags

#### Policy Area:

Finance and Financial Sector

### Related Bills

Bill	Relationship	Last Action
113 HR 5405	Related bill	<b>Sep 17, 2014:</b> Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.
113 S 2765	Identical bill	<b>Jul 31, 2014:</b> Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.

**(This measure has not been amended since it was introduced. The summary of that version is repeated here.)**

SBIC Advisers Relief Act of 2014 - Amends the Investment Advisers Act of 1940 to exempt specified advisers of small business investment companies (SBICs) from certain: (1) Securities and Exchange Commission (SEC) registration requirements with respect to the provision of investment advice relating to venture capital funds, and (2) SEC registration and reporting requirements with respect to assets under management of private funds.

Provides the same exemption with respect to any state or local law requiring the registration, licensing, or qualifications of investment advisers.

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### Actions Timeline

- **Dec 3, 2014:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.
- **Dec 2, 2014:** Reported by the Committee on Financial Services. H. Rept. 113-641.
- **Dec 2, 2014:** Placed on the Union Calendar, Calendar No. 481.
- **Dec 2, 2014:** Mr. Luetkemeyer moved to suspend the rules and pass the bill.
- **Dec 2, 2014:** Considered under suspension of the rules. (consideration: CR H8239-8240)
- **Dec 2, 2014:** DEBATE - The House proceeded with forty minutes of debate on H.R. 4200.
- **Dec 2, 2014:** Passed/agreed to in House: On motion to suspend the rules and pass the bill Agreed to by voice vote.(text: CR H8239-8240)
- **Dec 2, 2014:** On motion to suspend the rules and pass the bill Agreed to by voice vote. (text: CR H8239-8240)
- **Dec 2, 2014:** Motion to reconsider laid on the table Agreed to without objection.
- **May 22, 2014:** Committee Consideration and Mark-up Session Held.
- **May 22, 2014:** Ordered to be Reported by the Yeas and Nays: 56 - 0.
- **Apr 9, 2014:** Hearings Held by the Subcommittee on Capital Markets and Government Sponsored Enterprises Prior to Referral.
- **Mar 11, 2014:** Introduced in House
- **Mar 11, 2014:** Referred to the House Committee on Financial Services.