

## HR 2274

Small Business Mergers, Acquisitions, Sales, and Brokerage Simplification Act of 2014

**Congress:** 113 (2013–2015, Ended)

**Chamber:** House

**Policy Area:** Finance and Financial Sector

**Introduced:** Jun 6, 2013

**Current Status:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs

**Latest Action:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs. (Jan 15, 2014)

**Official Text:** <https://www.congress.gov/bill/113th-congress/house-bill/2274>

### Sponsor

**Name:** Rep. Huizenga, Bill [R-MI-2]

**Party:** Republican • **State:** MI • **Chamber:** House

### Cosponsors (9 total)

Cosponsor	Party / State	Role	Date Joined
Rep. Higgins, Brian [D-NY-26]	D · NY		Jun 6, 2013
Rep. Posey, Bill [R-FL-8]	R · FL		Jun 6, 2013
Rep. Heck, Joseph J. [R-NV-3]	R · NV		Jun 27, 2013
Rep. Schweikert, David [R-AZ-6]	R · AZ		Sep 9, 2013
Rep. Fincher, Stephen Lee [R-TN-8]	R · TN		Oct 22, 2013
Rep. Ross, Dennis A. [R-FL-15]	R · FL		Oct 22, 2013
Rep. McHenry, Patrick T. [R-NC-10]	R · NC		Oct 28, 2013
Rep. Lucas, Frank D. [R-OK-3]	R · OK		Nov 12, 2013
Rep. Lofgren, Zoe [D-CA-19]	D · CA		Dec 3, 2013

### Committee Activity

Committee	Chamber	Activity	Date
Banking, Housing, and Urban Affairs Committee	Senate	Referred To	Jan 15, 2014
Financial Services Committee	House	Hearings By (subcommittee)	Oct 23, 2013

### Subjects & Policy Tags

#### Policy Area:

Finance and Financial Sector

## Related Bills

Bill	Relationship	Last Action
113 HR 4	Related bill	<b>Nov 13, 2014:</b> Read the second time. Placed on Senate Legislative Calendar under General Orders. Calendar No. 597.
113 HR 5405	Related bill	<b>Sep 17, 2014:</b> Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.
113 HR 4304	Related bill	<b>Jun 13, 2014:</b> Referred to the Subcommittee on Health, Employment, Labor, and Pensions.
113 S 1923	Related bill	<b>Jan 14, 2014:</b> Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.

## Summary (as of Jan 14, 2014)

Small Business Mergers, Acquisitions, Sales, and Brokerage Simplification Act of 2014 - Amends the Securities Exchange Act of 1934 to exempt from its registration requirements certain merger and acquisition (M&A) brokers and associated persons.

Denies such registration exemption, however, to brokers who: (1) receive, hold, transmit, or have custody of any funds or securities to be exchanged by parties to a transfer of ownership of an eligible privately held company; or (2) engage on behalf of an issuer in a public offering of securities that are either subject to mandatory registration, or with respect to which the issuer must file periodic information, documents, and reports.

Prohibits the construction of this Act to limit any other authority of the Securities and Exchange Commission (SEC) to exempt any person, or any class of persons, from any provision of this Act, including any related rule or regulation.

## Actions Timeline

- **Jan 15, 2014:** Received in the Senate and Read twice and referred to the Committee on Banking, Housing, and Urban Affairs.
- **Jan 14, 2014:** Reported (Amended) by the Committee on Financial Services. H. Rept. 113-326.
- **Jan 14, 2014:** Placed on the Union Calendar, Calendar No. 243.
- **Jan 14, 2014:** Mr. Garrett moved to suspend the rules and pass the bill, as amended. (consideration: CR H192)
- **Jan 14, 2014:** Considered under suspension of the rules. (consideration: CR H192-196)
- **Jan 14, 2014:** DEBATE - The House proceeded with forty minutes of debate on H.R. 2274.
- **Jan 14, 2014:** At the conclusion of debate, the Yeas and Nays were demanded and ordered. Pursuant to the provisions of clause 8, rule XX, the Chair announced that further proceedings on the motion would be postponed.
- **Jan 14, 2014:** Considered as unfinished business. (consideration: CR H198-199)
- **Jan 14, 2014:** Passed/agreed to in House: On motion to suspend the rules and pass the bill, as amended Agreed to by the Yeas and Nays: (2/3 required): 422 - 0 (Roll no. 14).(text: CR H192-193)
- **Jan 14, 2014:** On motion to suspend the rules and pass the bill, as amended Agreed to by the Yeas and Nays: (2/3 required): 422 - 0 (Roll no. 14). (text: CR H192-193)
- **Jan 14, 2014:** The title of the measure was amended. Agreed to without objection.
- **Nov 14, 2013:** Committee Consideration and Mark-up Session Held.
- **Nov 14, 2013:** Ordered to be Reported (Amended) by the Yeas and Nays: 57 - 0.
- **Oct 23, 2013:** Hearings Held by the Subcommittee on Capital Markets and Government Sponsored Enterprises Prior to Referral.
- **Jun 6, 2013:** Introduced in House
- **Jun 6, 2013:** Referred to the House Committee on Financial Services.

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