

HR 711

Hedge Fund Adviser Registration Act of 2009

Congress: 111 (2009–2011, Ended)

Chamber: House

Policy Area: Finance and Financial Sector

Introduced: Jan 27, 2009

Current Status: Referred to the House Committee on Financial Services.

Latest Action: Referred to the House Committee on Financial Services. (Jan 27, 2009)

Official Text: <https://www.congress.gov/bill/111th-congress/house-bill/711>

Sponsor

Name: Rep. Capuano, Michael E. [D-MA-8]

Party: Democratic • **State:** MA • **Chamber:** House

Cosponsors (1 total)

Cosponsor	Party / State	Role	Date Joined
Rep. Castle, Michael N. [R-DE-At Large]	R · DE		Jan 27, 2009

Committee Activity

Committee	Chamber	Activity	Date
Financial Services Committee	House	Referred To	Jan 27, 2009

Subjects & Policy Tags

Policy Area:

Finance and Financial Sector

Related Bills

No related bills are listed.

Summary (as of Jan 27, 2009)

Hedge Fund Adviser Registration Act of 2009 - Amends the Investment Advisers Act of 1940 to repeal the exception to the registration requirement for any investment adviser who: (1) during the preceding twelve months has had fewer than 15 clients; and (2) neither holds himself or herself out generally to the public as an investment adviser, nor acts as an investment adviser to any registered investment company or any business development company. (Thus requires such private investment advisers to register with the Securities and Exchange Commission [SEC].)

Actions Timeline

• **Jan 27, 2009:** Introduced in House

• **Jan 27, 2009:** Referred to the House Committee on Financial Services.