

## HR 1418

To eliminate the exemption from State regulation for certain securities designated by national securities exchanges.

**Congress:** 111 (2009–2011, Ended)

**Chamber:** House

**Policy Area:** Finance and Financial Sector

**Introduced:** Mar 10, 2009

**Current Status:** Referred to the House Committee on Financial Services.

**Latest Action:** Referred to the House Committee on Financial Services. (Mar 10, 2009)

**Official Text:** <https://www.congress.gov/bill/111th-congress/house-bill/1418>

### Sponsor

**Name:** Rep. Meeks, Gregory W. [D-NY-6]

**Party:** Democratic • **State:** NY • **Chamber:** House

### Cosponsors

No cosponsors are listed for this bill.

### Committee Activity

Committee	Chamber	Activity	Date
Financial Services Committee	House	Referred To	Mar 10, 2009

### Subjects & Policy Tags

#### Policy Area:

Finance and Financial Sector

### Related Bills

No related bills are listed.

### Summary (as of Mar 10, 2009)

Amends the Securities Act of 1933, with respect to exclusive federal registration of nationally traded securities, to exclude from exclusive federal regulation, and thus permit state regulation of, certain securities anyone of specified securities exchanges has listed (or authorized for listing) in a tier or segment for uncovered securities, according to standards for designating such a tier or segment the exchange has adopted.

### Actions Timeline

- **Mar 10, 2009:** Introduced in House
- **Mar 10, 2009:** Referred to the House Committee on Financial Services.