

HR 3504

Transparency in Corporate Filings Act

Congress: 110 (2007–2009, Ended)

Chamber: House

Policy Area: Finance and Financial Sector

Introduced: Sep 7, 2007

Current Status: Referred to the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises.

Latest Action: Referred to the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises.
(Dec 10, 2007)

Official Text: <https://www.congress.gov/bill/110th-congress/house-bill/3504>

Sponsor

Name: Rep. Roskam, Peter J. [R-IL-6]

Party: Republican • **State:** IL • **Chamber:** House

Cosponsors

No cosponsors are listed for this bill.

Committee Activity

Committee	Chamber	Activity	Date
Financial Services Committee	House	Referred to	Dec 10, 2007

Subjects & Policy Tags

Policy Area:

Finance and Financial Sector

Related Bills

No related bills are listed.

Summary (as of Sep 7, 2007)

Transparency in Corporate Filings Act - Amends the Securities Act of 1933, the Securities Exchange Act of 1934, and the Investment Company Act of 1940, with respect to mandatory public disclosures in either registration statements, prospectuses, reports, or broadcasts, to authorize the Securities and Exchange Commission (SEC) to provide that a disclosure form, periodic report, or document need not be filed if the SEC has otherwise prescribed another means for public disclosure of such information (including, by implication, on an Internet website).

Amends the Investment Company Act of 1940 to authorize the SEC to: (1) permit or require one or more alternative means of public disclosure (including, by implication, on an Internet website) in lieu of, or in addition to, filing with the SEC or transmitting to security holders a registration statement, prospectus, application, report, account, record, or other document; and (2) determine whether any information so disclosed shall be deemed filed or transmitted under the Act.

Actions Timeline

- **Dec 10, 2007:** Referred to the Subcommittee on Capital Markets, Insurance and Government Sponsored Enterprises.
- **Sep 7, 2007:** Introduced in House
- **Sep 7, 2007:** Referred to the House Committee on Financial Services.